



JAMES E. RUFFIN

CPA, CISA

Senior Manager | IS Assurance and Advisory Services

CONTACT >

 jruffin@RKLcpa.com

 610.376.1595

 rklcpa.com

EDUCATION

B.S. Business Administration,
Bloomsburg University

FIRM POSITION

James is a Senior Manager with RKL's IS Assurance and Advisory Services Practice. He leads RKL's System and Organization Control (SOC) attestation services (SOC Readiness, SOC 1, SOC 2, etc.), for organizations that provide a variety of outsourced services. Risk and internal control have been the cornerstone of James' professional career - both business and technology. Through years of experience, James has had the opportunity to help various industries nationwide and provide necessary, practical and real-time advice while achieving the required SOC compliance standards.

Beyond James' SOC expertise, he has extensive experience in providing and consulting on a variety of IT internal audits (including SOX readiness and support), cybersecurity consulting, vulnerability assessments, internal control and process improvement assessments, and business continuity planning and tabletop exercises.

PROFESSIONAL & BUSINESS HISTORY

Throughout his career, James has served clients in a range of industries. He began his career at a top-five national accounting firm as a financial auditor before formally transitioning into Information Systems Assurance. Using this foundation, James has established specializations in data analysis, risk assessment and technology internal controls. These specializations, when leveraged with a business-focused background, allow James to provide a holistic enterprise-centric approach to his assurance services.

James positions himself alongside his clients against the challenges they face. He takes extra care to focus on the 'root' of the situation at hand to ensure solutions address causes and not symptoms. His approach is seated in a 'risk-based' methodology to guide attention and an organization's limited resources to areas of greatest impact.

PROFESSIONAL ACTIVITIES & MEMBERSHIPS

- Certified Public Accountant, Pennsylvania
- Certified Information Systems Auditor
- American Institute of Certified Public Accountants (AICPA), Member
- Pennsylvania Institute of Certified Public Accountants (PICPA), Member
- Information Systems Audit and Control Association (ISACA), Member
- Reading Public Museum, Treasurer, Board Member

PERSONAL

James resides in Allentown, PA. In his spare time, he enjoys traveling and trying local fare at his destinations.

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ADVISORS for
WHAT'S NEXT